


CENTRAL BANK OF ESWATINI EXPRESSION OF INTEREST (EOI)		
CBE_PROCUREMENT - EOI	Date : August 2019	Version : 1.0

CENTRAL BANK OF ESWATINI


EXPRESSION OF INTEREST

DEVELOPING A REPOSITORY OF DOMESTIC POLITICALLY EXPOSED PERSONS (PEPs)

CONTRACT REFERENCE: CBE_PEPs_FR_EOI_08-2019_L

CLOSING DATE FOR SUBMISSION OF EOI - 11 SEPTEMBER, 2019

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1. INTRODUCTION

The Central Bank of Eswatini (CBE) is a product of the CBE Order of 1974 (as amended). Per this Act, the CBE has a mandate to foster price and financial stability within Eswatini. The aim of this strategy, is to lay out a plan on how the CBE will strengthen its ability to execute this given mandate.

The mandate of the Central Bank of Eswatini is:

1. To create sound monetary policy that will make the country financially stable.
2. To regulate and direct the financial sector in such a way that the financial system stays a sound and efficient one.
3. To issue and redeem currency.
4. To hold and manage the foreign exchange reserves of the country.
5. To be a banker, adviser, and agent to Government.
6. To create and run an efficient national payment system.
7. To act as lender of last resort to financial institutions and to aid the growth of domestic financial markets.
8. To conduct research on monetary, financial, and economic matters that will improve monetary policy.
9. To engage with stakeholders in such a way.

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2. BUSINESS REQUIREMENTS

The CBE wishes to solicit Expression of Interest from interested service providers both locally and internationally to provide the service of developing a Repository of domestic Politically Exposed Persons (PEPs) and a Guideline on definition of a PEP and use of the repository, aligning it with international standards and applicable legislation in Eswatini.

The repository will serve as a list against which the 11 financial institutions in the banking sector and the Central Bank will confirm the status of domestic PEPs. The service provider will be expected to consolidate the PEP lists of the eleven (11) regulated financial institutions in the banking sector in developing the Repository and supplement them to ensure that the list is comprehensive.

The Repository will be hosted in the Central Bank of Eswatini and should be interoperable with other systems to give access to the banking sector. The solution should be capable of being updated either through the addition or deletion of PEPs depending on their eligibility status to be in the list based on the definition of a PEP as stated in the Guideline.

The service provider will be expected to give user training and capacitate technicians that will maintain the solution on deployment.

Expected deliverables:

- PEP collection from the accountable institutions;
- An assessment of the current PEP lists of institutions to identify any missing gaps in data;
- A benchmarking exercise to understand the different lists in the market to develop a comprehensive PEP list;
- Drafting of PEP list Guideline, aligning it with international standard and applicable legislation in Eswatini;
- Designing and developing the PEP repository system;
- Defining user terms for safe and secured access as well as procedures for making additions and deletions to the list;
- Deployment of PEP list System;
- Provide source code to CBE;

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- Users training on the new PEP list system; and
- Technicians training on the maintenance of the system.

3. EXPRESSION OF INTEREST SCHEDULE

A summary of the process is as follows:

- Request for expression of interest by CBE
- Response submission by interested vendors
- Evaluation and shortlisting by CBE
- Presentations by shortlisted vendors
- Further shortlisting by CBE
- Issuance of Request for Proposal (RFP) by CBE
- Response to RFP by vendors
- Evaluation by CBE
- Award by CBE


4. TERMS AND CONDITIONS

Part 1: Introduction.

1.1 The purpose of this document is to pre-qualify suppliers who wish to be considered for the implementation of this project.

This EOI does not commit the CBE to pay any cost incurred in the preparation or submission of any response to the EOI.

1.2 Vendors who can demonstrate that they have carried out such projects in at least three companies must complete section 1 to 5 in full and must submit in one or more of the following ways:

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Courier: The Secretary, Tender Committee
Central Bank of Eswatini
Mahlokohla Street
Mbabane
Eswatini

Email: supplychain@centralbank.org.sz

Vendors are encouraged to email their responses to the email provided above. Alternatively, Vendors who chose to submit via courier are advised to include a softcopy of their proposal.

Part 2: Guidelines

- 2.1 Participation and/or completion of this pre-qualification stage by vendors shall not constitute an offer from the CBE to the vendor to provide the service or a promise to enter into Contract(s) with the CBE. Nor will it obligate the CBE in any way with regard to their final decision.
- 2.2 Failure to provide all the documentation and/or complete all requirements of this document at the time of submission shall invalidate this application.
- 2.3 Questions regarding this EOI shall be submitted by e-mail to the Manager Supply Chain's Office, email address: supplychain@centralbank.org.sz .Verbal questions will NOT be entertained.
- 2.4 The details entered hereunder will be considered confidential.
- 2.5 The CBE reserves the right to consult referees, clients or consultants, as entered in this pre-qualification document.
- 2.6 The CBE reserves the right to require the vendor to produce evidence in support of all details entered herein.

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- 2.7 The CBE representative(s) may be required to visit the Company's premises to verify the details contained in this document. The vendor will allow the CBE representatives to interview present and previous clients

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Section 1: Company Information.

1.1 Company information

- 1.1.1 Quote Contract Reference - CBE_PEPs_FR_EOI_08-2019_L
- 1.1.2 Name of the company
- 1.1.3 Registered Physical address
- 1.1.4 Postal Address
- 1.1.5 Telephone
- 1.1.6 Fax number
- 1.1.7 Email of contact persons

1.2 Company profile

- 1.2.1 Brief company profile including date of Company Registration
- 1.2.2 Associated companies (if any) or holding company
- 1.2.3 Any accreditation status/level
- 1.2.4 Name and Full address, and contact numbers of Bank to whom the CBE may make inquiries to verify the financial viability of the Company
- 1.2.5 Certified copy of audited financial statements for the last 2 financial years.
- 1.2.6 Certified copy of Certificate of Incorporation
- 1.2.7 Certified copy of Current Trading License
- 1.2.8 Current Tax Clearance certificate
- 1.2.9 Certified copy of current Form "J"
- 1.2.10 Certified copy of current Form "C"
- 1.2.11 Full names and contact details of directors and shareholders
- 1.2.12 VAT registration

1.3 Labour Strength

- 1.3.1 Number of staff (and highest relevant professional qualifications) based in
 - 1.3.1.1 Eswatini office
 - 1.3.1.2 Republic of South Africa office
 - 1.3.1.3 Other locations

Section 2 - Track Record

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- 2.1 Implementation of such a project in last 3 years
 - 2.1.1 Name of Client
 - 2.1.2 Contract Period
 - 2.1.3 Details of the implementation
 - 2.1.4 Contact persons
- 2.2 Contracts aborted (Failure to disclose this information may lead to cancellation of contract if awarded)
- 2.3 Relevant referees at least two sites.
 - 2.3.1 Name of contact person
 - 2.3.2 Company / Organization
 - 2.3.3 Telephone
 - 2.3.4 Email address

Section 3 - Features

- 3.1 Detail the list of items that you supply
- 3.2 Management system options if any

Section 4 - Lesson Learned in doing business

- 4.1 What have you learned in dealing with Corporate Organisations especially the banking environment?

Section 5 - Financials

- 2.2 Goods/services pricing table showing:
 - 2.2.1 A comprehensive list of Items/goods that you supply
 - 2.2.2 Unit price per item
- 2.3 Supply a comprehensive costing model
- 2.4 Any other relevant costs